

# CCRT: Categorical and Combinatorial Representation Theory.

From combinatorics of universal problems  
to usual applications.

G.H.E. Duchamp

Collaboration at various stages of the work  
and in the framework of the Project

*Evolution Equations in Combinatorics and Physics* :

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CIP seminar,

Friday conversations:

For this seminar, please have a look at Slide CCRT[n] & ff.

# Goal of this series of talks

The goal of these talks is threefold

- 1 Category theory aimed at “free formulas” and their combinatorics
- 2 How to construct free objects
  - 1 w.r.t. a functor with - at least - two combinatorial applications:
    - 1 the two routes to reach the free algebra
    - 2 alphabets interpolating between commutative and non commutative worlds
  - 2 without functor: sums, tensor and free products
  - 3 w.r.t. a diagram: limits
- 3 Representation theory: Categories of modules, semi-simplicity, isomorphism classes i.e. the framework of Kronecker coefficients.
- 4 MRS factorisation: A local system of coordinates for Hausdorff groups.

# CCRT[15] Evolution equations in differential modules.

**Disclaimer.** – The contents of these notes are by no means intended to be a complete theory. Rather, they outline the start of a program of work which has still not been carried out.

- 1 Definition of differential modules
- 2 Definition of evolution equations
- 3 Computations with differential modules
- 4 Some concluding remarks

## Lemma 1.7 in [11] revisited./1

### Definition (Differential module)

Let  $(\mathbf{k}, \partial)$  a differential ring. A differential module  $M$  over  $(\mathbf{k}, \partial)$  is a (in general left-) module over  $\mathbf{k}\langle\partial\rangle^a$  (see [3] Ch II §1.1). This is equivalent to the data of

- 1 A  $\mathbf{k}$ -module  $M$
- 2  $\partial_M \in \text{End}_{\mathbb{Z}}(M)$  such that for all  $(a, m) \in \mathbf{k} \times M$   
$$\partial_M(a.m) = \partial(a).m + a.\partial_M(m)$$

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<sup>a</sup>We note here  $\mathbf{k}\langle\partial\rangle$  instead of  $\mathbf{k}[\partial]$  as we will have to consider, for instance,  $\mathbb{C}[z]\langle\partial\rangle$  for which the notation  $\mathbb{C}[z][\partial]$  could be confusing.

### Definition (Evolution equation)

Let  $M$  be a (finite or infinite dimensional) differential module. We will call evolution equation, in  $M$  an expression

$$Y' = \Phi(Y) \text{ with } \Phi \in \text{End}_{\mathbf{k}}(M) \tag{1}$$

## Lemma 1.7 (revisited)/2

### Lemma 1.7 (rev)

Let  $M \in \mathbf{Diff}_{\mathbf{k}}$  ( $\mathbf{k}$  is a differential field with field of constants  $C = \ker(\partial)$ ) and  $\Phi \in \mathit{End}_{\mathbf{k}}(M)$ , we suppose that

- $(Y_i)_{i \in I} \in M^I$  is a family of solutions of the some evolution equation of type (1)

Then, TFAE

- 1  $(Y_i)_{i \in I}$  is  $C$ -free
- 2  $(Y_i)_{i \in I}$  is  $\mathbf{k}$ -free (for the structure of  $\mathbf{k}$ -field).

### Proof

2  $\implies$  1) being obvious, remains to prove (1  $\implies$  2). To this end, let  $\mathcal{R}$  be the module of  $\mathbf{k}$ -linear relations, i.e. we consider the map  $\Lambda : \mathbf{k}^{(I)} \rightarrow M$  defined by  $(Y_i)_{i \in I}$ <sup>a</sup> such that  $\Lambda(\alpha) = \sum_{i \in I} \alpha(i) Y_i$  (then  $\mathcal{R} = \ker(\Lambda)$ ).

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<sup>a</sup>See [3] ch II §1.11 def 10.

## Proof of (revisited Lemma) 1.7 cont'd

Either  $\mathcal{R} = \{0\}$  and we are done or  $\mathcal{R} \neq \{0\}$ . In this case, we take  $\beta \in \mathcal{R} \setminus \{0\}$  with minimal<sup>a</sup> support  $F \neq \emptyset$  and  $i_0 \in F$ .

Due to the fact that  $\mathbf{k}$  is a field, we can take  $\beta(i_0) = 1$ . Then

$$(LR) \quad Y_{i_0} + \sum_{i \in F \setminus \{i_0\}} \beta(i) Y_i = 0$$

$$(\partial) \quad Y'_{i_0} + \sum_{i \in F \setminus \{i_0\}} \beta(i) Y'_i + \beta(i)' Y_i = 0$$

$$(\Phi) \quad \Phi(Y_{i_0}) + \sum_{i \in F \setminus \{i_0\}} \beta(i) \Phi(Y_i) = 0$$

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<sup>a</sup>For cardinality or inclusion.

## Lemma 1.7/3

We perform (2)-(3) (repeated below)

$$(\partial) \quad Y'_{i_0} + \sum_{i \in F \setminus \{i_0\}} \beta(i) Y'_i + \beta(i)' Y_i = 0 \quad (2)$$

$$(\Phi) \quad \Phi(Y_{i_0}) + \sum_{i \in F \setminus \{i_0\}} \beta(i) \Phi(Y_i) = 0 \quad (3)$$

and get  $\sum_{i \in F \setminus \{i_0\}} \beta(i)' Y_i = 0$ . But, as  $F$  is minimal, the family  $(Y_i)_{i \in F \setminus \{i_0\}}$  is  $\mathbf{k}$ -free. This entails  $\beta(i)' = 0$  for all  $i \in F \setminus \{i_0\}$  and then  $\beta(i) \in C$ , from hypothesis, we get  $F \setminus \{i_0\} = \emptyset$  and  $F = \{i_0\}$  i.e.  $Y_{i_0} = 0$ . This is impossible because of hypothesis ①  $((Y_i)_{i \in I}$  is  $C$ -free). CQFD

## Example 1: Vector fields on the line.

① (Vector fields on the line) In physics and computer science literature, there is a lot of confusion between evolution equations,

- a) **well defined ?**
- b) **that can be stated ?**
- c) **that can be integrated ?**

② These problems can be cured

- a,b) making precise the spaces and transformations  $\Phi$ .
- c) examining the conditions of integration.

③ A banal and trite commonplace is the formula

$$e^{t \frac{d}{dx}}(f)[x] = f[x + t] \quad (4)$$

freely and lightheartedly repeated everywhere which soon becomes as a philosophical motto "*Exponential of  $t \times$  derivation*" = "*displacement by  $t$* ".



## Example 1: Vector fields on the line./2

- 4 This formula is true in some frameworks and false in others. Let  $D \in \mathfrak{der}(\mathcal{A})$  where  $\mathcal{A}$  is some (associative) algebra. The evolution equation reads

$$\frac{d}{dt}(Y) = Y' = t.D.Y ; Y \in \mathcal{A} \subset \text{End}(\text{some space}) \quad (5)$$

- 5 Firstly, if  $D$  is locally nilpotent  $\exp(t.D)$  is a one-parameter group of automorphisms of  $\mathcal{A}$  (Ex.  $\mathcal{A} = \mathbb{C}[x]$ ,  $D = \frac{d}{dx}$  leads to formula 4).
- 6 With  $\mathcal{A} = C^\infty(\mathbb{R}, \mathbb{R})$  the evolution equation **can be stated** i.e. the two members of (4) are well-defined, but this formula is false (take any Schwartz test function).
- 7 With  $\mathcal{A} = C^\omega(\mathbb{R}, \mathbb{R})$ , the formula is true.

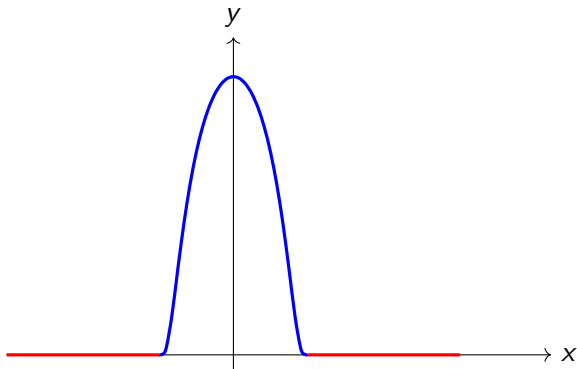


Figure: Schwartz  $C^\infty$  test function. Piecewise defined: for  $x \notin ]-1, -1[$ ,  $f(x) = 0$  (red) and for  $x \in ]-1, -1[$ ,  $f(x) = 10 \exp(\frac{1}{x^2 - 1})$  (blue). Formula (4) is false. Indeed for every  $x$  in the red domain and  $t = -x$ , in

$$e^{t \frac{d}{dx}}(f)[x] = f[x + t].$$

we have  $LHS(t, x) = 0$  whereas  $RHS(x, t) = 10$ .

## Example 1: Vector fields on the line./3

- ① For suitable spaces (another talk, see also [6])

$$e^{t.x} \frac{d}{dx} (f)[x] = f[e^{t.x}]$$

$$e^{t.x^3} \frac{d}{dx} (f)[x] = f\left[\frac{x}{\sqrt{1-2.t.x^2}}\right]$$

$$e^{t.x^2} \frac{d}{dx} (f)[x] = f\left[\frac{x}{1-t.x}\right]$$

$$e^{t.x^{r+1}} \frac{d}{dx} (f)[x] = f\left[\frac{x}{\sqrt[r]{1-t.r.x^r}}\right]$$

## Application (Van der Put).

- ④ Let  $(R, \partial)$  be a (commutative) differential ring, containing the differential field  $\mathbf{k}$  (we suppose<sup>a</sup>  $C = \ker(\partial) \subset \mathbf{k}$ ). We consider  $L \in \mathbf{k}\langle\partial\rangle$  of degree  $n$ .

$$L = a_n \cdot \partial^n + \dots + a_1 \cdot \partial + a_0 \quad (6)$$

then, if  $R$  is without zero divisors, the set of solutions<sup>b</sup>  $L \cdot y = 0$  is a  $\mathbf{k}$ -vector space of dimension  $\leq n$ .

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<sup>a</sup>This is not granted (Ex.  $R = \mathbb{C}[x, y], \partial = \frac{d}{dx}, \mathbf{k} = \mathbb{C}, C = \mathbb{C}[y]$ ).

<sup>b</sup> $Soln_R(L)$  in [11].

**Proof.** – (Sketch) Embed  $R \hookrightarrow \text{Frac}(R)$  as differential rings and apply [11] Lemma 1.10.

**Remark.** – The result is no longer true if  $R$  has zero divisors (see below).

**Example.** –  $R = (\mathcal{H}(\Omega), \partial)$  where  $\Omega$  is not connected. Take  $\Omega = \Omega_1 \cup \Omega_2$  ( $\Omega_i$  connected components) and  $y'' + y = 0$ , the space  $Soln_R(L)$  is of dimension 4. With basis  $[\cos(z) \cdot 1_{\Omega_1}, \sin(z) \cdot 1_{\Omega_1}, \cos(z) \cdot 1_{\Omega_2}, \sin(z) \cdot 1_{\Omega_2}]$ .

## Counterexamples cont'd

- 5 One could argue that, in the preceding example, the ring of constants is not a field. Indeed

$$\ker(\partial) = \mathbb{C}.1_{\Omega_1} \oplus \mathbb{C}.1_{\Omega_2}$$

- 6 We now consider an example coming from algebraic geometry (coordinate ring of  $xy = 0$ ). Let us consider, in  $\mathbb{C}[x, y]$ , the ideal  $\mathcal{J}_{xy}$  generated by  $xy$  (it has  $\{x^p y^q\}_{p, q \geq 1}$  as a basis) and  $\partial = x \frac{d}{dx} + y \frac{d}{dy}$ . One can check that  $\mathcal{J}_{xy}$  is a differential ideal for  $\partial$ . Then

$$\mathcal{A} = \mathbb{C}[x, y] / \mathcal{J}_{xy} = \mathbb{C}.1 \oplus \mathbb{C}_+[x] \oplus \mathbb{C}_+[y]$$

is a differential algebra. For  $N \geq 1$ , the equation

$$0 = Y' - N.Y = (\partial - N).y$$

has a two dimensional  $\mathbb{C}$ -vector space of solutions

$$V = \mathbb{C}.x^N \oplus \mathbb{C}.y^N$$

## Examples cont'd and unfold

- 7 In order to obtain correct arrows for the set annihilated by  $\partial - 1$  we would have to localize by the wronskian of one set of solutions of  $\dim = 1$  (here  $\{x\}$  or  $\{y\}$ ) but each of these wronskians are zero divisors, so the correct theory will imply
  - 1 Non-zero divisors
  - 2 Normalization (monic differential operator and localization by wronskian). We go back to our favorite module  $M(x_0^2 x_1 x_0 x_1)$  generated by the full vector space of solutions of  $L_{x_1 x_0 x_1 x_0^2} \cdot y = 0$  of dimension 6.
- 8 Now, we will need a lemma which is very useful to compute complicated wronskians.

# An exponential-like trace phenomenon.

## Definition (Fundamental matrix) **to be revised**

- 1 In the context of slide 5, let us suppose that  $M$  is finite-dimensional. We will say that a finite family  $(Y_i)_{i \in I}$  is **fundamental** if it is a  $\mathbf{k}$ -basis of  $\text{Soln}_{\mathbf{k}}(L) \cap M$ .
- 2 In the case when  $M = \mathbf{k}^n$ ,  $I = \{1, \dots, n\}$  and  $Y_i = {}^t(y_i^1, \dots, y_i^n)$ , the matrix whose columns are the  $Y_i$  i.e.

$$\begin{pmatrix} y_1^1 & \cdots & y_n^1 \\ \vdots & \ddots & \vdots \\ y_1^n & \cdots & y_n^n \end{pmatrix}$$

will be called a fundamental matrix for  $Y' = A.Y$  (where  $A$  is the matrix of  $F \in \text{End}_{\mathbf{k}}(M)$  in the canonical basis).

## Examples cont'd and unfold/2

### 9 The wronskian

$$W = wr(1, \text{Li}_{x_1}, \text{Li}_{x_0 x_1}, \text{Li}_{x_1 x_0 x_1}, \text{Li}_{x_0 x_1 x_0 x_1}, \text{Li}_{x_0^2 x_1 x_0 x_1})$$

is the determinant of the matrix

$$M_{x_0^2 x_1 x_0 x_1} = \begin{bmatrix} 1 & \text{Li}_{x_1} & \text{Li}_{x_0 x_1} & \text{Li}_{x_1 x_0 x_1} & \text{Li}_{x_0 x_1 x_0 x_1} & \text{Li}_{x_0^2 x_1 x_0 x_1} \\ 0 & (1-z)^{-1} & * \text{Li}_{x_1} & * \text{Li}_{x_0 x_1} & * \text{Li}_{x_1 x_0 x_1} & * \text{Li}_{x_0 x_1 x_0 x_1} \\ 0 & (1-z)^{-2} & \vdots & \vdots & \vdots & \vdots \end{bmatrix} \quad (7)$$

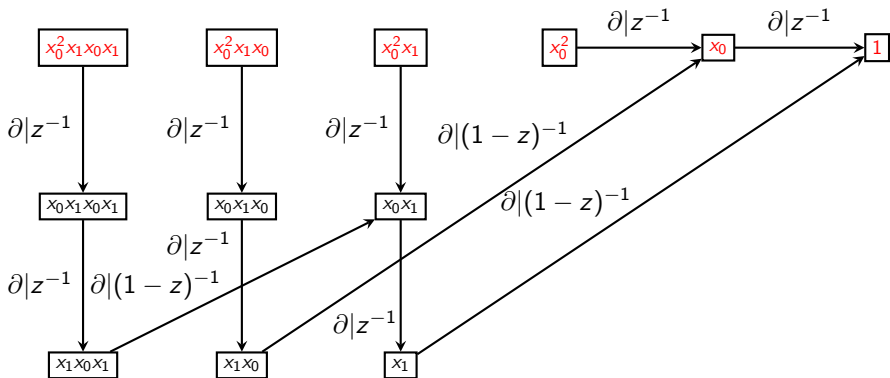
### 10 This matrix is fundamental for the $\mathbb{C}(z)^6$ evolution equation.

$Y' = A_D_{x_1 x_0 x_1 x_0^2} Y$  and it satisfies  $W' = tr(A_D_{x_1 x_0 x_1 x_0^2}) W$  **please check !** (see, [11] Ex. 1.14.5, with  $D_w = z^{-|w|_{x_0}} (1-z)^{-|w|_{x_1}} L_w$ ).

$$W' = \frac{15z - 11}{z(1-z)} W = \frac{11(z-1) + 4z}{z(1-z)} W = \left( \frac{-11}{z} + \frac{4}{1-z} \right) W \text{ whence}$$

$$W = \lambda_1 \cdot \exp\left(\int \frac{4}{1-z} - \frac{11}{z}\right) = \lambda_2 \cdot \frac{1}{(1-z)^4} \cdot \frac{1}{z^{11}}$$





**Figure:** Structure of the differential module  $M_w$  for  $w = x_0^2 x_1 x_0 x_1$ . The  $\mathbb{C}$ -vector space  $V_w$  of all solutions of  $L_{\tilde{w}} \cdot y = 0$  is in red and actions of  $\partial$  are marked edges with multiplicities after mid i.e.  $\langle \text{action} \rangle | \langle \text{multiplicity} \rangle$

The nodes form a  $\mathbb{C}(z)$ -basis of the module i.e. the universal module of all solutions of  $L \cdot y = 0$  with

$$L = \partial \theta_{x_1 x_0 x_1 x_0^2} = L_{x_1 x_0 x_1 x_0^2} = (z^5 - 2z^4 + z^3) \partial^6 + (15z^4 - 26z^3 + 11z^2) \partial^5 + (65z^3 - 93z^2 + 30z) \partial^4 + (90z^2 - 97z + 18) \partial^3 + (31z - 20) \partial^2 + \partial$$

# A cyclic module

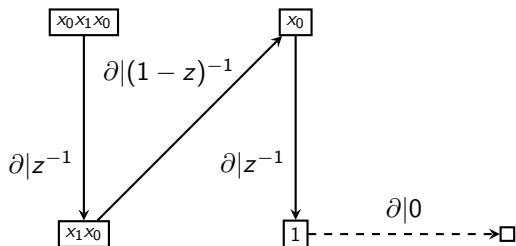


Figure: Cyclic (or monogeneous) differential module  $M = \mathbb{C}(z)\langle \partial \rangle \text{Li}_w$  for  $w = x_0 x_1 x_0$ . Note that it does not contain the  $\mathbb{C}$ -vector space  $V_w$  of all solutions of  $D_w = \partial \theta_{\tilde{w}} \cdot y = 0$ . Actions of  $\partial$  are marked edges multiplicities are after mid i.e.  $\langle \text{action} \rangle | \langle \text{multiplicity} \rangle$  one has  $L = \partial \theta_{x_0 x_1 x_0} = (z^4 - 2z^3 + z^2)\partial^5 + (10z^3 - 16z^2 + 6z)\partial^4 + (25z^2 - 29z + 6)\partial^3 + (15z - 10)\partial^2 + \partial$

# A model evolution equation (generalized BTT).

- ① Let  $(\mathcal{A}, \partial)$  be a differential algebra over  $\mathbf{k} = \ker(\partial)$  and a differential field  $\mathcal{C} \supset \mathbf{k}$ . We consider an alphabet  $X$  and a family of  $(u_x)_{x \in X}$  of “inputs”. We form the “multiplier”  $M = \sum_{x \in X} u_x x \in \widehat{\mathcal{C}.X}$  and consider the evolution equation in  $\mathcal{A}\langle\langle X \rangle\rangle$

$$\mathbf{d}(S) = M.S ; \langle S | 1_{X^*} \rangle = 1_{\mathcal{A}} \quad (8)$$

where  $\mathbf{d}$  is the termwise differentiation

$$\mathbf{d}(S) := \sum_{w \in X^*} \partial(\langle S | w \rangle) w \quad (9)$$

# A model evolution equation (generalized BTT).

② Under the preceding conditions ①, we have the following

## BTT theorem

The following are equivalent

- ① The family  $(\langle S|w\rangle)_{w \in X^*}$  of coefficients of  $S$  is free over  $\mathcal{C}$ .
- ② The family of coefficients  $(\langle S|y\rangle)_{y \in X \cup \{1_{X^*}\}}$  is free over  $\mathcal{C}$ .
- ③ The family  $(u_x)_{x \in X}$  is such that, for  $f \in \mathcal{C}$  and  $\alpha \in k^{(X)}$  (i.e.  $\text{supp}(\alpha)$  is finite)

$$d(f) = \sum_{x \in X} \alpha_x u_x \implies (\forall x \in X)(\alpha_x = 0). \quad (10)$$

- ④ The family  $(u_x)_{x \in X}$  is free over  $k$  and

$$d(\mathcal{C}) \cap \text{span}_k \left( (u_x)_{x \in X} \right) = \{0\}. \quad (11)$$

# Picard's process

- ③ Note that such solutions can be considered as paths  $S(z)$  drawn on the Magnus group (this is more apparent with  $\mathcal{A} = \mathcal{H}(\Omega)$  or  $\mathcal{A} = C^\infty(\Omega; \mathbb{R})$ ). Conversely, every  $\mathcal{A}$ -path drawn on the Magnus group are solutions of some system

$$\mathbf{d}(S) = M.S ; \langle S | 1_{X^*} \rangle = 1_{\mathcal{A}} \quad (12)$$

with  $M \in \mathcal{C}_+ \langle\langle X \rangle\rangle$  for some  $\mathcal{C}$  a subalgebra of  $\mathcal{A}$  (in fact  $\mathcal{C}$  includes the smallest subalgebra containing the coefficients of  $\mathbf{d}(S)S^{-1}$ ).

- ④ Conversely, if  $M \in \mathcal{C}_+ \langle\langle X \rangle\rangle$  for some  $\mathcal{C}$  a subalgebra of the differential algebra  $(\mathcal{A}, \partial)$  with a section<sup>a</sup>  $\int$ , one can construct a solution of (12) by the Picard's process. One computes the limit  $\lim_{n \rightarrow +\infty} S_n$  where

$$S_0 = 1_{X^*} ; S_{n+1} = 1_{X^*} + \int M.S_n . \quad (13)$$

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<sup>a</sup>Not all differential algebras possess such a section (as  $\mathbb{C}(z)$  for instance).

## About sections

- 5 The best example of section is  $\int_{z_0}^z$ . Let  $\Omega$  be a domain (i.e. connected open subset) of  $\mathbb{C}$
- 6 Then  $f \mapsto \int_{z_0}^z f(s) ds$  is a section for  $(\mathcal{H}(\Omega), \frac{d}{dz})$
- 7 For this section, Picard's process applied to the NcEvEq (noncommutative evolution equation)

$$\mathbf{d}(S) = M.S; \langle S | 1_{X^*} \rangle = 1_{\mathcal{A}}; M = \sum_{x \in X} u_x x$$

has for limit  $S = \sum_{w \in X^*} \alpha_{z_0}^z(w) w$ .

- 8 It is sometimes helpful to use other (more adapted) integrators which should always (I insist) be considered with care, i.e. with their domains.

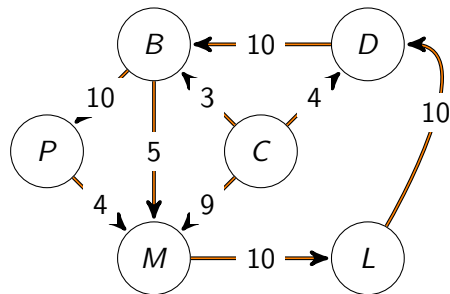
## Reserve : Application to the freeness of solutions of $L.y = 0$

In fact, let us consider  $y_1, \dots, y_n$  a set of  $C = \ker(\partial)$ -independent solutions of  $L.y = 0$ . Stringing  ${}^t(y, y', \dots, y^{n-1})$ , we get a set of solutions of

$$\begin{pmatrix} y \\ y' \\ \vdots \\ y^{n-1} \end{pmatrix}' = \text{CompMat}(L) \begin{pmatrix} y \\ y' \\ \vdots \\ y^{n-1} \end{pmatrix} \quad (14)$$

where  $\text{CompMat}(L)$  is the companion matrix of  $L$ , we can apply the lemma to see that the strings  ${}^t(y_i, y_i', \dots, y_i^{n-1})$  are all linearly independent over  $\mathbf{k}$  and then so are  $y_1, \dots, y_n$ . This can be, in particular, applied to the modules of polylogs.

## A simple transition system: weighted graphs



**Figure:** Directed graph weighted by numbers which can be lengths, time (durations), costs, fuel consumption, probabilities. This graph is equivalent to a square matrix. Coefficients are taken in different semirings (i.e. rings without the “minus” operation, as tropical or  $[\max,+]$ ) according to the type of computations to be done. **Tropical mathematics** were so called by MPS school because they were founded by the Hungarian-born Brazilian mathematician and computer scientist Imre Simon.



# A small tribute to MPS or Marco as we used to call him

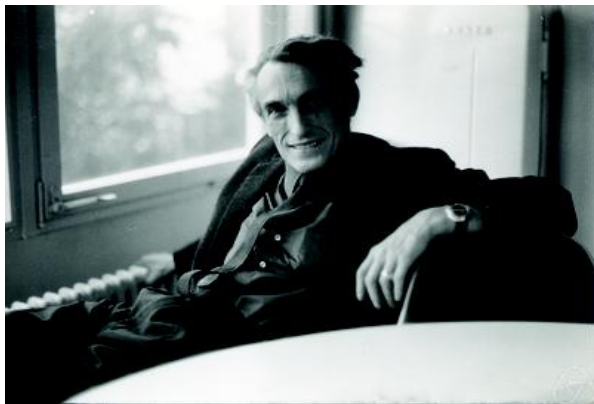
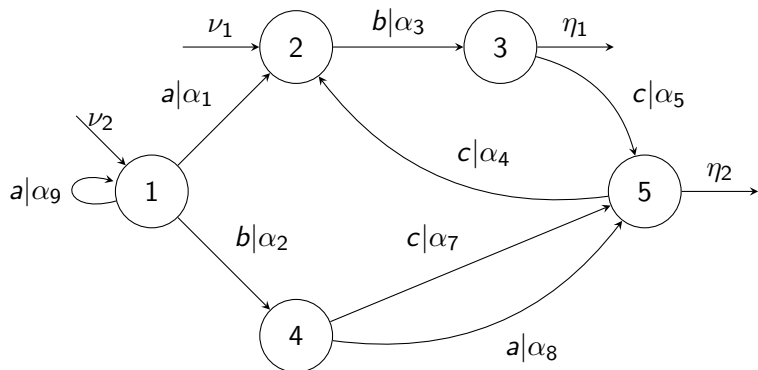


Figure: Marcel-Paul Schützenberger at Oberwolfach (1973)<sup>1</sup>

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<sup>1</sup>Contrary to 1972 (Wikipedia)

# Multiplicity Automaton (Eilenberg, Schützenberger)



1 S. Eilenberg, *Automata, Languages, and Machines (Vol. A)* Acad. Press, New York, 1974

2 M.P. Schützenberger, *On the definition of a family of automata*, *Inf. and Contr.*, 4 (1961), 245-270.

# Multiplicity automaton (linear representation) & behaviour

## Linear representation

$$\nu = (\nu_2 \quad \nu_1 \quad 0 \quad 0 \quad 0), \quad \eta = (0 \quad 0 \quad \eta_1 \quad 0 \quad \eta_2)^T$$

$$\mu(a) = \begin{pmatrix} \alpha_9 & \alpha_1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \alpha_8 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix} \quad \mu(b) = \begin{pmatrix} 0 & 0 & 0 & \alpha_2 & 0 \\ 0 & 0 & \alpha_3 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

$$\mu(c) = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \alpha_5 \\ 0 & 0 & 0 & 0 & \alpha_7 \\ 0 & \alpha_4 & 0 & 0 & 0 \end{pmatrix}$$

## Behaviour

$$\mathcal{A}(w) = \nu \mu(w) \eta = \sum_{\substack{i,j \\ \text{states}}} \nu(i) \underbrace{\left( \sum \text{weight}(p) \right)}_{\text{weight of all paths } \textcircled{I} \rightarrow \textcircled{J} \text{ with label } w} \eta(j)$$

# Construction starting from a series $S$ (and actions $x^{-1}$ ).

- **States**  $\boxed{u^{-1}S}$  (constructed step by step)
- **Edges** We shift every state by letters (length) level by level (knowing that  $x^{-1}(u^{-1}S) = (ux)^{-1}S$ ). Two cases:  
**Returning state:** The state is a linear combination of the already created ones i.e.  $x^{-1}(u^{-1}S) = \sum_{v \in F} \alpha(ux, v)v^{-1}S$  (with  $F$  finite), then we set the edges

$$\boxed{u^{-1}S} \xrightarrow{x|\alpha_v} \boxed{v^{-1}S}$$

**The created state is new:** Then

$$\boxed{u^{-1}S} \xrightarrow{x|1} \boxed{x^{-1}(u^{-1}S)}$$

- **Input**  $\boxed{S}$  with the weight 1
- **Outputs** All states  $\boxed{T}$  with weight  $\langle T|1_{X^*} \rangle$

# From theory to practice: Schützenberger's calculus

## From series to automata

Starting from a series  $S$ , one has a way to construct an automaton (finite-stated iff the series is rational) providing that we know how to compute on shifts and one-letter-shifts will be sufficient due to the formula  $u^{-1}v^{-1}S = (vu)^{-1}S$ .

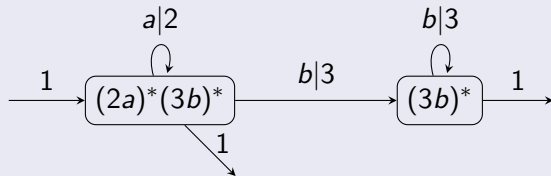
## Calculus on rational expressions ([1], lemma 7.2).

In the following,  $x$  is a letter,  $E, F$  are rational expressions (i.e. expressions built from letters by scalings, concatenations and stars)

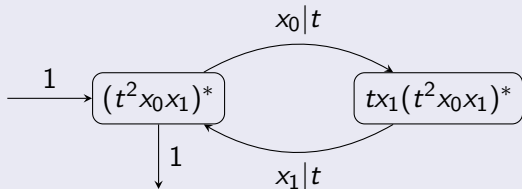
- 1  $x^{-1}$  is (left and right) linear
- 2  $x^{-1}(E.F) = x^{-1}(E).F + \langle E|1_{x^*} \rangle x^{-1}(F)$
- 3  $x^{-1}(E^*) = x^{-1}(E).E^*$

# Computations with “returning states”.

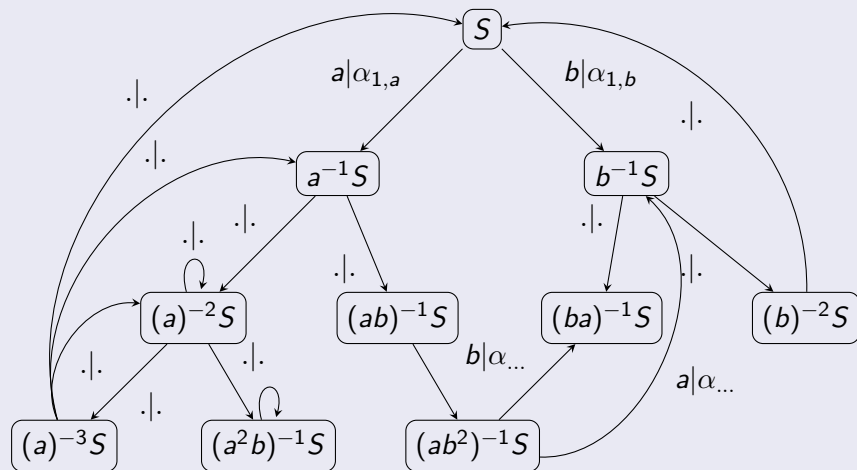
With  $(2a)^*(3b)^*$  ;  $X = \{a, b\}$



With  $(t^2x_0x_1)^*$  ;  $X = \{x_0, x_1\}$



# In general: returning edges



# Use of this transition structure

Automata with multiplicities is an elegant way to code

- Algebraic numbers
- Continued fractions (quadratic irrationalities, Lagrange's theorem, see Knuth)
- Markov chains (several transition matrices)
- Finite-length (e.g. finite-dimensional one the ground field of the algebra) modules
- In particular differential modules



# Constructions with differential modules

Let  $(\mathbf{k}, \partial)$  be a differential algebra (over its ring of constants  $C = \ker(\partial)$ ). Recall that

- 1 The  $C$ -algebra of differential operators is

$$\mathbf{k}[\partial] = \mathbf{k} *_Z \mathbb{Z}[\partial] / (\partial \cdot a - (a' + a \cdot \partial))$$

- 2 (Normal form) Every element  $L$  of  $\mathbf{k}[\partial]$  expresses uniquely as

$$L = \sum_{j=0}^n a_j \partial^j \text{ with } a_j \in \mathbf{k}$$

- 3 Note that  $\mathbf{k}[\partial]$  is only a  $\mathbf{k}$ -bimodule and NOT a  $\mathbf{k}$ -algebra (only a  $C$ -algebra).
- 4 There is a euclidean division (but one must precise if it is left or right). Same thing for the extended euclidean algorithm.
- 5 A differential module  $M$  over  $(\mathbf{k}, \partial)$  is simply a (in general left-) module over  $\mathbf{k}[\partial]$ . This is equivalent to the data of

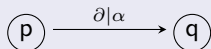
- 1 A  $\mathbf{k}$ -module  $M$

- 2  $\partial_M \in \text{End}_Z(M)$  such that for all  $(a, m) \in \mathbf{k} \times M$   
$$\partial_M(a \cdot m) = \partial(a) \cdot m + a' \cdot \partial_M(m)$$

# Representations

- 1  $\mathbf{k}$ -differential modules for a category (see [11] p44 “ The category of all differential modules over  $\mathbf{k}$  will be denoted by  $\mathbf{Diff}_{\mathbf{k}}$ ”).
- 2 On a graphical level, a differential f.g. module  $M$  can be represented as a marked graph (only the transition structure of an automaton i.e. without initial and final states)

- 1 A set of states (elements of a generating set)
- 2 Transitions



- 3 Then, we can use the richness of constructions of automata theory to concretely compute with differential modules.
- 4 Mainly, we can do: direct sums, quotients, tensor products, and (various) shuffle products of automata.
- 5 Let us first make precise what is a linear representation of an automaton. It is due to the following theorem (Abe, Sweedler).

# Rational series (Sweedler & Schützenberger)

## Theorem A

Let  $S \in \mathbf{k}\langle\langle X \rangle\rangle$  TFAE

- i) The family  $(Su^{-1})_{u \in X^*}$  is of finite rank.
- ii) The family  $(u^{-1}S)_{u \in X^*}$  is of finite rank.
- iii) The family  $(u^{-1}Sv^{-1})_{u,v \in X^*}$  is of finite rank.
- iv) It exists  $n \in \mathbb{N}$ ,  $\lambda \in k^{1 \times n}$ ,  $\mu : X^* \rightarrow k^{n \times n}$  (a multiplicative morphism) and  $\tau \in k^{n \times 1}$  such that, for all  $w \in X^*$

$$(S, w) = \lambda \mu(w) \tau \quad (15)$$

- v) The series  $S$  is in the closure of  $\widehat{\mathbf{k}.X}$  for  $(+, \text{conc}, *)$  within  $k\langle\langle X \rangle\rangle$ .

## Definition

- i) A series  $S$  which fulfills one of the conditions of Theorem A will be called *rational*. The set of these series will be denoted by  $k^{\text{rat}}\langle\langle X \rangle\rangle$ .
- ii) The triple  $(\lambda, \mu, \tau)$  as in (15) is called a linear representation of  $S$ .

## Concluding remarks

- 1 The category  $\mathbf{Diff}_k$  of differential modules has many properties in common with transition structures emerging from automata theory (direct sums, quotients and tensor products which the law of shuffle products).
- 2 Evolution equations is a wide domain still under development with all kinds of tools (some rigorously, some loosely defined) that we can inherit from combinatorial physics and adapt to our situation.
- 3 Modules  $M(w)$  from polylogarithms give a first example of concrete studies
- 4 Other modules can be obtained from coordinates of solutions of  $S' = M.S$  with  $M \in \mathbb{C}_+ \langle\langle X \rangle\rangle$  (next time together with generalized BTT).

## Concluding remarks/2

- 5 Indeed, our finite-dimensional differential modules are torsion modules.

[https://en.wikipedia.org/wiki/Torsion\\_\(algebra\)](https://en.wikipedia.org/wiki/Torsion_(algebra))

- 6 For these modules Lam's theorem (2007, not very difficult but very deep categorically speaking) (see [9] Ex. 10.19 p233) is central and connects their category with Ore rings.
- 7 Next time, more on combinatorics of cyclic modules.

THANK YOU FOR YOUR ATTENTION !

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